

# ITEM 1 - COVER PAGE

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Brochure Supplement

## James ("Jim") W. Woods

CRD# 734272

of

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March 12, 2019

This brochure supplement provides information about James ("Jim") Woods and supplements the Southport Capital brochure. You should have received a copy of that brochure. Please contact us at (678) 398-9375 if you did not receive Southport Capital's brochure or if you have any questions about the contents of this supplement.

Additional information about Jim is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Jim Woods (year of birth 1955) is Partner and Senior Investment Advisor of Southport Capital since 2008. Jim's experience also includes serving as Vice President and Portfolio Manager at Oppenheimer and Company, Inc. (previous CIBC World Markets Corporation) from 1990 through 2008.

Jim received his Bachelor of Science degree from Georgia Institute of Technology.

## ITEM 3 - DISCIPLINARY INFORMATION

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Jim has no such disciplinary information to report.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Jim is also an insurance agent of Southport Capital Risk Management, an affiliated insurance company of Southport Capital. As such, he is entitled to receive commissions or other remuneration on the sale of insurance products and will receive separate, yet customary compensation. To protect client interests, Southport Capital's policy is to fully disclose all forms of compensation before any such transaction is executed. Under no circumstance will the client pay both a commission to Jim and a management fee to Southport Capital on the same pool of assets.

## ITEM 5 - ADDITIONAL COMPENSATION

Other than as stated above, Jim is not engaged in any other investment-related business or occupation and does not earn compensation for the sale of any other products or services.

## ITEM 6 - SUPERVISION

John Woods, President and CEO is responsible for supervising the investment advice provided by the staff. He also participates as a team member in the investment and trading processes and may be contacted at (423) 265-2700.

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## James Clayton ("Clay") Parker

CRD# 2793582

of

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March 12, 2019

This brochure supplement provides information about Clay Parker and supplements the Southport Capital brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure or if you have any questions about the contents of this supplement.

Additional information about Clay is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

## **ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Clay Parker (year of birth 1962) is Partner and Senior Investment Adviser of Southport Capital. From 2009 through 2018, Clay served as President of Southport Capital. Clay was employed as the firm's Vice President of Wealth Management Services from 2002 to 2009. Clay's experience also includes serving as Vice President of the Trust Investment Department of SunTrust Bank and employment with Merrill Lynch as a Financial Consultant.

Clay received his Bachelor of General Education from Louisiana State University.

## **ITEM 3 -DISCIPLINARY INFORMATION**

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Clay has no such disciplinary information to report.

## **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Clay is not engaged in any other business activities.

## **ITEM 5 -ADDITIONAL COMPENSATION**

Clay has no other income or compensation to disclose.

## **ITEM 6 - SUPERVISION**

John Woods, President and CEO is responsible for supervising the investment advice provided by the staff. He also participates as a team member in the investment and trading processes and may be contacted at (423) 265-2700.

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## Darold Brooks, CFP<sup>®</sup>

CRD# 839352

of

Southport Capital

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March 12, 2019

This brochure supplement provides information about Darold Brooks and supplements the Southport Capital brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure or if you have any questions about the contents of this supplement.

Additional information about Darold is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Darold Brooks (year of birth 1946) has served as a Registered Investment Advisor Representative of Southport Capital since 2010. Prior to joining Southport Capital, Darold was a Vice President and Portfolio Manager for Oppenheimer & Company from 2001 to 2010.

Darold earned a Bachelor of Science in Business Administration and a Master of Business Administration from Shorter College. He also holds a Master of Science in Management from Troy University. Darold is also a Certified Financial Planner™ \* professional.

\*The CFP® certification is granted by Certified Financial Planner Board of Standards, Inc. (CFP Board). To attain the certification, the candidate must complete the required educational, examination, and experience requirements set forth by CFP Board. Certain designations, such as the CPA, CFA and others may satisfy the education component, and allow a candidate to sit for the CFP®

Certification Examination. The Examination tests the candidate's ability to apply financial planning knowledge to client situations. The 10-hour exam is divided into three separate sessions over a two- day period. At least three (3) years of qualifying full-time work experience are required for certification. Qualifying experience includes work in the area of the delivery of the personal financial planning process to clients, the direct support or supervision of others in the personal financial planning process, or teaching all, or any portion, of the personal financial planning process.

## ITEM 3 - DISCIPLINARY INFORMATION

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Darold has no such disciplinary information to report

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Darold is not engaged in any other business activities.

## ITEM 5 - ADDITIONAL COMPENSATION

Darold has no other income or compensation to disclose.

## ITEM 6 - SUPERVISION

John Woods, President and CEO is responsible for supervising the investment advice provided by the staff. He also participates as a team member in the investment and trading processes and may be contacted at (423) 265-2700.

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## Michael ("Mike") J. Mooney

CRD# 4037101

of

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March 12, 2019

This brochure supplement provides information about Mike Mooney and supplements the Southport Capital brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure or if you have any questions about the contents of this supplement.

Additional information about Mike is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Michael Mooney (year of birth 1969) has served as Senior Investment Manager at Southport Capital since 2012. He has been a Partner and part owner of the firm since January 2016. He was a third-party solicitor for Southport Capital from 2010 to 2012. Mike served as a third-party solicitor for the Horizon Private Equity Funds from 2009 through the end of 2016. Prior to working with Southport Capital, Mike was a Financial Advisor at Oppenheimer and Company from 2007 to 2010. Mike's previous employment includes working as Director and Financial Advisor at PHH Investments and various roles with Dean Witter Reynolds and CIBC World Markets.

Mike earned a Bachelor of Science from Emory Riddle Aeronautical University.

## ITEM 3 - DISCIPLINARY INFORMATION

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Mike has no such disciplinary information to report

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Mike also provides independent marketing for financial planning with Southport Capital Risk Management, an affiliated insurance company of Southport Capital. As such, he is entitled to receive commissions or other remuneration on the sale of insurance products and will receive separate, yet customary compensation. To protect client interests, Southport Capital's policy is to fully disclose all forms of compensation before any such transaction is executed. Under no circumstance will the client pay both a commission to Mike and a management fee to Southport Capital on the same pool of assets.

## ITEM 5 - ADDITIONAL COMPENSATION

Other than as stated above, Mike is not engaged in any other investment-related business or occupation and does not earn compensation for the sale of any other products or services.

## ITEM 6 - SUPERVISION

John Woods, President and CEO is responsible for supervising the investment advice provided by the staff. He also participates as a team member in the investment and trading processes and may be contacted at (423) 265-2700.

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## Britt F. Wright

CRD# 4275139

of

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March 12, 2019

This brochure supplement provides information about Britt Wright and supplements the Southport Capital brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure or if you have any questions about the contents of this supplement.

Additional information about Britt is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Britt Wright (year of birth 1972) has served as a Registered Investment Advisor Representative at Southport Capital since 2013. Britt served as a third-party solicitor for the Horizon Private Equity Funds from 2013 through the end of 2016. Prior to joining Southport Capital, Britt was a Financial Advisor with Edward Jones from 2009 to 2013. Britt's experience also includes serving as Financial Advisor with Bank of America Investment services from 2006 through 2009.

Britt earned a Bachelor of Arts in Political Science from the University of North Carolina at Chapel Hill.

## ITEM 3 - DISCIPLINARY INFORMATION

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Britt has no such disciplinary information to report.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Britt is also an insurance agent of Southport Capital Risk Management, an affiliated insurance company of Southport Capital. As such, he is entitled to receive commissions or other remuneration on the sale of insurance products and will receive separate, yet customary compensation. To protect client interests, Southport Capital's policy is to fully disclose all forms of compensation before any such transaction is executed. Under no circumstance will the client pay both a commission to Britt and a management fee to Southport Capital on the same pool of assets.

## ITEM 5 - ADDITIONAL COMPENSATION

Other than as stated above, Britt is not engaged in any other investment-related business or occupation and does not earn compensation for the sale of any other products or services.

## ITEM 6 - SUPERVISION

John Woods, President and CEO is responsible for supervising the investment advice provided by the staff. He also participates as a team member in the investment and trading processes and may be contacted at (423) 265-2700.

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## Penny G. Flippen

CRD# 2742088

of

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**March 12, 2019**

This brochure supplement provides information about Penny Flippen and supplements the Southport Capital brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure or if you have any questions about the contents of this supplement.

Additional information about Penny is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Penny G. Flippen (year of birth 1962) joined Southport Capital as a Registered Investment Advisor Representative in 2013. Penny served as a third-party solicitor for the Horizon Private Equity Funds from 2013 through the end of 2016. Prior to joining Southport, Penny was a registered representative with H.D. Vest Investment Securities, Inc. from 1997 to 2013. Her previous experience also includes working as an administrator for Horizon Private Equity from 2012 - 2013 and serving as an Office Manager for Investment Management Services from 1996 - 2012. Penny does not have a formal degree after high school.

## ITEM 3 - DISCIPLINARY INFORMATION

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Penny has no such disciplinary information to report.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Penny also provides independent marketing for financial planning with Southport Capital Risk Management, an affiliated insurance company of Southport Capital. As such, she is entitled to receive commissions or other remuneration on the sale of insurance products and will receive separate, yet customary compensation. To protect client interests, Southport Capital's policy is to fully disclose all forms of compensation before any such transaction is executed. Under no circumstance will the client pay both a commission to Penny and a management fee to Southport Capital on the same pool of assets.

## ITEM 5 - ADDITIONAL COMPENSATION

Other than as stated above, Penny is not engaged in any other investment-related business or occupation and does not earn compensation for the sale of any other products or services.

## ITEM 6 - SUPERVISION

John Woods, President and CEO is responsible for supervising the investment advice provided by the staff. He also participates as a team member in the investment and trading processes and may be contacted at (423) 265-2700.

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## Lynne A. Witmer

CRD# 4665577

Of

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March 12, 2019

This brochure supplement provides information about Lynne Witmer and supplements the Southport Capital brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure or if you have any questions about the contents of this supplement.

Additional information about Lynne is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Lynne A. Witmer (year of birth 1970) joined Southport Capital as Registered Investment Advisor Representative in 2014. Prior to joining Southport, Lynne was a Financial Planning Assistant with Integrated Asset Management, LLC from 2013 to 2014. Her previous experience also includes working as a Registered Representative for New York Life Securities, LLC from 2012 - 2013 and serving as a Unit Sales Manager for Bankers Life & Casualty from 2010 to 2012.

Lynne attended Pennsylvania State University from 1994 to 1996. Lynne has no formal degree after high school.

## ITEM 3 - DISCIPLINARY INFORMATION

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Lynne has no such disciplinary information to report.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Lynne is also an insurance agent of Southport Capital Risk Management, an affiliated insurance company of Southport Capital. As such, she is entitled to receive commissions or other remuneration on the sale of insurance products and will receive separate, yet customary compensation. To protect client interests, Southport Capital's policy is to fully disclose all forms of compensation before any such transaction is executed. Under no circumstance will the client pay both a commission to Lynne and a management fee to Southport Capital on the same pool of assets.

## ITEM 5 - ADDITIONAL COMPENSATION

Other than as stated above, Lynne is not engaged in any other investment-related business or occupation and does not earn compensation for the sale of any other products or services.

## ITEM 6 - SUPERVISION

John Woods, President and CEO is responsible for supervising the investment advice provided by the staff. He also participates as a team member in the investment and trading processes and may be contacted at (423) 265-2700.

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## Bruce Goldstein

CRD# 5505742

Of

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March 12, 2019

This brochure supplement provides information about Bruce Goldstein and supplements the Southport Capital brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure or if you have any questions about the contents of this supplement.

Additional information about Bruce is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Bruce Goldstein (year of birth 1960) joined Southport Capital as a Registered Investment Advisor Representative in 2018. Prior to joining Southport, Bruce was a Registered Representative of Edward Jones from 2015 to 2018. Prior to Edward Jones, Bruce was the Managing Director at SunTrust Robinson Humphrey, Inc.

Bruce earned a Business Administration (BSBA) degree from the American University in 1982. He also holds a Master of Business Administration (MBA) from the University of Kansas.

## ITEM 3 - DISCIPLINARY INFORMATION

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Bruce has no such disciplinary information to report

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Bruce is also an insurance agent of Southport Capital Risk Management, an affiliated insurance company of Southport Capital. As such, he is entitled to receive commissions or other remuneration on the sale of insurance products and will receive separate, yet customary compensation. To protect client interests, Southport Capital's policy is to fully disclose all forms of compensation before any such transaction is executed. Under no circumstance will the client pay both a commission to Bruce and a management fee to Southport Capital on the same pool of assets.

## ITEM 5 - ADDITIONAL COMPENSATION

Other than as stated above, Bruce is not engaged in any other investment-related business or occupation and does not earn compensation for the sale of any other products or services.

## ITEM 6 - SUPERVISION

John Woods, President and CEO is responsible for supervising the investment advice provided by the staff. He also participates as a team member in the investment and trading processes and may be contacted at (423) 265-2700.

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## Michael ("Mike") Lange

CRD# 1966088

Of

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March 12, 2019

This brochure supplement provides information about Mike Lange and supplements the Southport Capital. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure or if you have any questions about the contents of this supplement.

Additional information about Mike is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Michael Lange (year of birth 1959) joined Southport Capital as a Registered Investment Advisor Representative in 2017. Prior to joining Southport, Mike was a registered investment advisor representative of Redhawk Wealth Advisors, Inc from 2010 to 2017. Prior to Redhawk, Mike was registered investment advisor representative at Horter Investment Management, LLC.

Mike a Bachelor of Science in Business Administration at Western Illinois University.

## ITEM 3 - DISCIPLINARY INFORMATION

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Mike has no such disciplinary information to report

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Mike is also an insurance agent of Southport Capital Risk Management, an affiliated insurance company of Southport Capital and owner and insurance agent of Lange Financial Services, Inc. As such, he is entitled to receive commissions or other remuneration on the sale of insurance products and will receive separate, yet customary compensation. To protect client interests, Southport Capital's policy is to fully disclose all forms of compensation before any such transaction is executed. Under no circumstance will the client pay both a commission to Mike and a management fee to Southport Capital on the same pool of assets.

## ITEM 5 - ADDITIONAL COMPENSATION

Other than as stated above, Mike is not engaged in any other investment-related business or occupation and does not earn compensation for the sale of any other products or services.

## ITEM 6 - SUPERVISION

John Woods, President and CEO is responsible for supervising the investment advice provided by the staff. He also participates as a team member in the investment and trading processes and may be contacted at (423) 265-2700.

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## Susan Naive

CRD# 1593389

Of

Southport Capital

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March 12, 2019

This brochure supplement provides information about Mike Lange and supplements the Southport Capital. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure or if you have any questions about the contents of this supplement.

Additional information about Mike is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Susan Naive (year of birth 1955) joined Southport Capital as a Registered Investment Advisor Representative in 2017. Prior to joining Southport, Susan was the Owner and Investment Advisor Representative of Swift Creek Capital, Inc. from 2010 to 2017. Prior to Swift Creek Capital, Susan was the Senior Vice President at Crescent Capital Management from 2007 to 2010. Prior to Crescent Capital, Susan was an Investment Advisor Representative for Jordahl, Sliter Financial Solutions.

Susan earned a Bachelor of Science in Psychology from Arizona State University. She also holds a Master of Arts in Management/Finance from the University of Phoenix.

## ITEM 3 - DISCIPLINARY INFORMATION

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Susan has no such disciplinary information to report

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Susan does not have any other business activities to disclose.

## ITEM 5 - ADDITIONAL COMPENSATION

Susan is not engaged in any other investment-related business or occupation and does not earn compensation for the sale of any other products or services.

## ITEM 6 - SUPERVISION

John Woods, President and CEO is responsible for supervising the investment advice provided by the staff. He also participates as a team member in the investment and trading processes and may be contacted at (423) 265-2700.

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Brochure Supplement

## Jonathan James Miles, CFP<sup>®</sup>

CRD# 6023551

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July 8, 2019

This brochure supplement provides information about Jonathan Miles and supplements the Southport Capital. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure or if you have any questions about the contents of this supplement.

Additional information about Jonathan is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Jonathan Miles (year of birth 1987) joined Southport Capital as a Director of Financial Planning in 2019. Prior to joining Southport, Jonathan was a Financial Adviser at Oppenheimer & Co, Inc. from 2017 through 2019. Prior to Oppenheimer & Co, Inc., Jonathan was the Senior Investment Executive at Infinex Investments, Inc., which purchased Essex National Securities, LLC in July 2016.

Jonathan earned a Bachelor of Arts in Chemistry from Eckerd College and attended the College for Financial Planning to obtain the curriculum necessary for the CFP®.

The CFP® certification is granted by Certified Financial Planner Board of Standards, Inc. (CFP Board). To attain the certification, the candidate must complete the required educational, examination, and experience requirements set forth by CFP Board. Certain designations, such as the CPA, CFA and others may satisfy the education component, and allow a candidate to sit for the CFP®

Certification Examination. The Examination tests the candidate's ability to apply financial planning knowledge to client situations. The 10-hour exam is divided into three separate sessions over a two- day period. At least three (3) years of qualifying full-time work experience are required for certification. Qualifying experience includes work in the area of the delivery of the personal financial planning process to clients, the direct support or supervision of others in the personal financial planning process, or teaching all, or any portion, of the personal financial planning process.

## ITEM 3 - DISCIPLINARY INFORMATION

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Jonathan has no such disciplinary information to report

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Jonathan does not have any other business activities to disclose.

## ITEM 5 - ADDITIONAL COMPENSATION

Jonathan is not engaged in any other investment-related business or occupation and does not earn compensation for the sale of any other products or services.

## ITEM 6 - SUPERVISION

John Woods, President and CEO is responsible for supervising the investment advice provided by the staff. He also participates as a team member in the investment and trading processes and may be contacted at (423) 265-2700.

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Brochure Supplement

## Arthur (Artie) Theodore Brown, Jr.

CRD# 2528090

Of

Southport Capital

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July 8, 2019

This brochure supplement provides information about Artie Brown and supplements the Southport Capital. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure or if you have any questions about the contents of this supplement.

Additional information about Artie is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Artie Brown (year of birth 1972) joined Southport Capital as a Senior Portfolio Manager in 2019. Prior to joining Southport, Jonathan was a Director of Investments/Portfolio Manager at Oppenheimer & Co, Inc. from 2016 through 2019. Prior to Oppenheimer & Co, Inc., Jonathan was the Vice President of Investments at Wells Fargo Advisors, LLC from 2009-2016.

Artie earned a Bachelors in Business Administration from the University of Toledo.

## ITEM 3 - DISCIPLINARY INFORMATION

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Artie has no such disciplinary information to report

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Artie does not have any other business activities to disclose.

## ITEM 5 - ADDITIONAL COMPENSATION

Artie is not engaged in any other investment-related business or occupation and does not earn compensation for the sale of any other products or services.

## ITEM 6 - SUPERVISION

John Woods, President and CEO is responsible for supervising the investment advice provided by the staff. He also participates as a team member in the investment and trading processes and may be contacted at (423) 265-2700.

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Brochure Supplement

**Cody Robert Kirk, CFP<sup>®</sup>**

CRD# 6443766

Of

Southport Capital

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Big Fork, MT 59911

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**July 8, 2019**

This brochure supplement provides information about Cody Kirk and supplements the Southport Capital. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure or if you have any questions about the contents of this supplement.

Additional information about Cody is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Cody Kirk (year of birth 1990) joined Southport Capital as a Financial Planner in 2019. Prior to joining Southport, Cody was a Financial Advisor at D.A. Davidson & Co. from April 2015 through July 2019. Prior to D.A. Davidson & Co., Cody was a Sales Executive at Terrells Office Machines.

Cody earned a Bachelor of Science in Mathematics from the Montana State University. and attended the College for Financial Planning to obtain the curriculum necessary for the CFP®.

The CFP® certification is granted by Certified Financial Planner Board of Standards, Inc. (CFP Board). To attain the certification, the candidate must complete the required educational, examination, and experience requirements set forth by CFP Board. Certain designations, such as the CPA, CFA and others may satisfy the education component, and allow a candidate to sit for the CFP®

Certification Examination. The Examination tests the candidate's ability to apply financial planning knowledge to client situations. The 10-hour exam is divided into three separate sessions over a two- day period. At least three (3) years of qualifying full-time work experience are required for certification. Qualifying experience includes work in the area of the delivery of the personal financial planning process to clients, the direct support or supervision of others in the personal financial planning process, or teaching all, or any portion, of the personal financial planning process.

## ITEM 3 - DISCIPLINARY INFORMATION

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Cody has no such disciplinary information to report

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Cody does not have any other business activities to disclose.

## ITEM 5 - ADDITIONAL COMPENSATION

Cody is not engaged in any other investment-related business or occupation and does not earn compensation for the sale of any other products or services.

## ITEM 6 - SUPERVISION

John Woods, President and CEO is responsible for supervising the investment advice provided by the staff. He also participates as a team member in the investment and trading processes and may be contacted at (423) 265-2700.

