

FACTS

WHAT DOES SOUTHPORT CAPITAL DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> • Social Security number and Assets • Account transactions and Investment Experience • Employment Information and Retirement assets
How?	All financial companies need to share some personal information to run their everyday business. In the section below, we list the reasons financial companies can share their personal information; the reasons Southport Capital chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Southport share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	No	We don't share
For joint marketing with other financial companies	Yes	No
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes— information about your creditworthiness	No	We don't share
For nonaffiliates to market to you	No	We don't share

To limit our sharing	<ul style="list-style-type: none"> • If you would like to limit the personal information that we share you can write to us, providing your name, address, phone number, and account number/social security number. See address on page 2. <p>Please note:</p> <p>If you are a new customer, we can begin sharing your information 1 day from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing.</p>
Questions?	Call 423-664-4509 or email: jennifergreene@southportcapital.com

Who we are

Who is providing this notice?

Southport Capital

What we do

How does Southport Capital protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Southport Capital collect my personal information?

We collect your personal information, for example, when you

- Open an account or Seek advice about your investments
- Enter into an investment advisory account by giving us your contact information
- Tell us about your investment or retirement portfolio

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

What happens when I limit sharing for an account I hold jointly with someone else?

The privacy choices made by an account holder apply to all joint holders with respect to the account.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

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Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

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Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

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Other important information

You may write to us at: Southport Capital, Attn: Compliance, 100 West MLK Blvd, Ste. 300, Chattanooga, TN 37402